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| FORM PTO-1449   | SERIAL NO. <u>10/529,990</u><br>Not yet assigned | CASE NO. <u>10/529,990</u><br>10808/228           |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>Herewith                          | GROUP ART UNIT<br>Not yet assigned<br><u>2818</u> |
| (use several sheets if necessary)   | APPLICANT(S): Ralf Rrederlow et al.              |   |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE               | NAME          | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|----|--|--------------------|---------------|--------------------|----------------|
| <u>RL</u>           | A1 | 6,413,802  | July 2, 2002       | Hu et al.     |                    |                |
| <u>RL</u>           | A2 | US 2002/0125536  | September 12, 2002 | Iwasa et al.  |                    |                |
| <u>RL</u>           | A3 | US 2001/0014047  | August 16, 2001    | Hidaka et al. |                    |                |
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FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE              | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|----|--|-------------------|---------|--------------------|--------------------------|
| <u>RL</u>           | A4 | JP 03173175  | July 27, 1991     | Japan   |                    | X                        |
| <u>RL</u>           | A5 | JP 62259466  | November 11, 1987 | Japan   |                    | X                        |
| <u>RL</u>           | A6 | JP 61113271  | May 31, 1986      | Japan   |                    | X                        |
| <u>RL</u>           | A7 | JP 57176757  | October 30, 1982  | Japan   |                    | X                        |
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| EXAMINER<br>INITIAL |    | OTHER ART - NON PATENT LITERATURE DOCUMENTS<br><small>(include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> |
|---------------------|----|---|
| <u>RL</u>           | A8 | Copy of International Examination Report from corresponding PCT patent application no. PCT/DE03/03355. <u>Date unknown</u>  |
| <u>RL</u>           | A9 | Copy International Search Report from corresponding PCT patent application no. PCT/DE03/03355. <u>Date: 09/08/2004</u>  |
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| EXAMINER <u>RL</u> | DATE CONSIDERED <u>6/20/07</u> |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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